

#2

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Marcos Esterman, Jr.

Serial No.:

Examiner:

Filing Date: May 10, 2001

Group Art Unit:

Title: APPARATUS FOR DOWNLOADING DOCUMENTATION

1-974 U.S. PTO  
09/052875  
05/16/01

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$240.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2), and  
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Marcos Esterman, Jr.

By

Thomas R. Fitzsimons

Attorney/Agent for Applicant(s)  
Reg. No. 40,607

Date: May 10, 2001

Telephone No.: (312) 360-0080

I hereby certify that this paper is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on May 10, 2001.

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Signature: David C. WCM

EXPRESS.WCM  
Appr. February 20, 1998

10005691-1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application )

Applicant: Marcos Esterman, Jr. )

Serial No. )

Filed: May 10, 2001 )

For: APPARATUS FOR DOWNLOADING )  
DOCUMENTATION )

Art Unit: )

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Express Label No.: EL 846224085 US

Signature: \_\_\_\_\_

*Daniel Canan*  
Daniel Canan

EXPRESS WCM Appr. February 20, 1998

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants through  
counsel herewith submit copies of the publications as set forth in the attached form PTO-  
1449 as follows:

U.S. PATENT DOCUMENTS

<u>PATENT NO.</u>	<u>PATENTEE</u>	<u>ISSUE DATE</u>
5,640,193	Wellner	Jun. 17, 1997
5,913,210	Call	Jun. 15, 1999

5,938,726	Reber et al.	Aug. 17, 1999
5,986,651	Reber et al.	Nov. 16, 1999
6,012,102	Shachar	Jan. 4, 2000
6,098,106	Philyaw et al.	Aug. 1, 2000
6,199,048	Hudetz et al.	Mar. 6, 2001

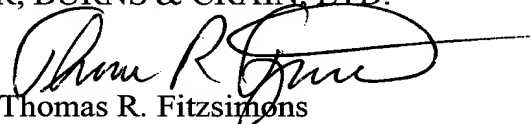
Applicants respectfully request that the Examiner consider the above-listed references in the examination of this application and list these references of record in the application.

The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 to Deposit Account No. 08-2025.

Respectfully submitted

GREER, BURNS & CRAIN, LTD.

By

  
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## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10005691-1

SERIAL NO.

APPLICANT

Marcos Esterman, Jr.

FILING DATE

May 10, 2001

GROUP

10974 U.S. PTO  
09/852875

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	5,640,193	Jun. 17, 1997	Wellner		
	1B	5,913,210	Jun. 15, 1999	Call		
	1C	5,938,726	Aug. 17, 1999	Reber et al.		
	1D	5,986,651	Nov. 16, 1999	Reber et al.		
	1E	6,012,102	Jan. 4, 2000	Shachar		
	1F	6,098,106	Aug. 1, 2000	Philyaw et al.		
	1G	6,199,048	Mar. 6, 2001	Hudetz et al.		
	1H					
	1I					
	1J					
	1K					

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED